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Compliance Update Seminar

Presented by Professional Bank Services and the
New York Bankers Association

"An investment in knowledge always pays the best interest." -Benjamin Franklin



September 14, 2010

Marriott Buffalo
1340 Millersport Hwy
Amherst, NY 14221
716-689-6900

September 15, 2010

Doubletree Hotel
6301 Route 298
East Syracuse, NY 13057
315-432-0200

September 16, 2010

Marriott Hotel Albany
189 Wolf Road
Albany, NY 12205
518-458-8444

October 19, 2010

Courtyard Marriott
LaGuardia
90-10 Grand Central Pwy
E. Elmhurst, NY 11369
718-446-4800

SCHEDULE

8:30 am - Registration
9:00 am - Program
12:00 noon - Lunch
4:00 pm - Adjourn

QUESTIONS?

Contact:
Elisa Legg
212-297-1679
elegg@nyba.com

SPEAKER

David Luken
Senior Consultant
Professional Bank Services, Inc

DISCOUNTED PRICING FOR NYBA MEMBERS!!

\$290/\$195 addtl
Promo Code:
CUPNYB10

Stay Current with Compliance Requirements!

The past year has confronted financial institutions with an unprecedented array of regulatory changes and developments with more still to come. Navigating these changes while implementing them to your institution's best advantage has never been more important. This one-day **Compliance Update Seminar** provides the **experienced** compliance manager with a summary and explanation of regulatory compliance activity occurring during this past year, as well as a review of current "hot topics". The program analyzes the changes and offers guidance necessary to incorporate them into your institution. It is designed for the compliance professional who has a solid understanding of the basics, but needs to stay current on the most recent compliance issues.

Compliance management requires the ability to manage change and regulatory risk. This program gives you current information and explains the practical implications of changes and how they affect your institution's policies, procedures, training needs and focus.

This program provides an opportunity to discuss emerging trends with other compliance professionals and will include information on a variety of topics.

Audience

This program is designed for compliance officers, auditors, and personnel who are responsible for and need to stay up-to-date with the variety of compliance topics covered and the most recent compliance issues.

Highlights

- Regulations Z - Credit Card Act
- Regulation Z – MDIA, §35, §36 (current issues)
- RESPA – GFE/Hud-1/Escrows (current issues)
- Mortgage Loan Originator Registry – Safe Act
- FCRA/FACTA
 - Accuracy in reporting
 - Handling consumer direct disputes
 - Risk-based pricing notices
 - Affiliate sharing
 - Red Flag FAQs
- Flood Disaster Protection Act (current issues, FAQs)
- Regulation DD – Overdraft and balance disclosures
- Regulation E – Overdraft opt-in
- Regulation P – Privacy notices
- Regulation GG – Internet Gambling
- Regulation D – Transfer limitations
- Regulation CC – Impact of check processing consolidation
- BSA
 - Beneficial ownership
 - Revised Exam Manual
 - IATs
- and much more

NEW YORK BANKERS ASSOCIATION

Registration Form - Compliance Update Seminar

Select Location: ___ 9/14, Buffalo ___ 9/15, Syracuse ___ 9/16, Albany ___ 10/19, E. Elmhurst

Registration Fees: \$290 for first registrant; \$195 per additional registrant - NYBA members; \$335 per registrant-non-members. You may also register online at www.probank.com or call 800-523-4778 – **you must use Promotion Code: CUPNYB10** to get the discounted rate

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Authorized by:

Name _____ Title _____

Institution _____ Phone _____

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Or, FAX to (502) 451-6755 with credit card information

Cancellation Policy: If you cancel seven days before the seminar date, we grant full refunds. If you cancel later, but prior to the date of the seminar, we impose a \$50 cancellation fee. If PBS cancels a seminar for any reason they will refund all fees paid. To cancel, call 800/523-4778, ext. 205 or 239.