



# Marks the Spot for all Your Chapter X Needs

## BSA Manuals, Videos and Pamphlets Catalog

With the forthcoming reorganization of the BSA rules and regulations into a new tenth chapter of Title 31 - Chapter X – the Financial Crimes Enforcement Network has recommended that “organizations... move as quickly as practical to update documents, training programs and other materials or systems with the appropriate 31 CFR Chapter X citations.” In response, the National Association for Bank Security/Profit Protection, LLC, is updating its BSA manuals and reviewing its videos and brochures to assure compliance. Our cornerstone BSA publication, the *CTR/BSA Multipurpose Compliance Manual*, has been completely revised and can be ordered now for delivery by December 31, 2010. Commonly referred to as the encyclopedia of BSA compliance, this new completely revised edition is a “must have.” The price is \$249 for new purchasers and \$199 for anyone who has purchased a previous edition. A bookmarked electronic PDF version of the manual - the first of its kind - is available separately on CD to purchasers of the printed version of the manual for an additional \$49.95.

### Other BSA Manuals

Each of our four other BSA publications, *Procedures for Preparing a CTR*, *Suspicious Activity Report Regulations*, *The CTR Exemption Handbook* and *The Customer Identification Program Guide*, will have new versions published in time for the March 1, 2011 effective date of the reorganized rules and regulations. Electronic versions of these are available for \$49.95 each for anyone who purchases the printed versions of the manuals. **REMEMBER - All previous versions of these publications will become obsolete as of March 1, 2011 and will need to be replaced.**

### BSA Videos and Pamphlets

Our five BSA videos - *Executive Briefing: Managing Your AML Program*; *Executive Briefing: Terrorist Financing*; *Understanding the Suspicious Activity Report Regulations*; *Introducing the BSA and CTRs to Employees*; and *Principles of Preparing a CTR* – comply with the Chapter X reorganization as do all the pamphlets in our popular *Facts You Should Know* series. Significant quantity pamphlet discounts are available by calling NABS/PPL.

### Save a Bundle — Order a Bundle

Each of the manuals and videos may be ordered separately or in one of three money-saving BSA bundles available now at pre-publication discounts. Get all five manuals and save more than \$200 by purchasing the **BSA Manual Bundle** for \$599.95. Save \$25 and get all five BSA videos for \$375 by purchasing the **BSA Video Bundle**. For the best value, save more than \$250 by ordering our **BSA Survival Kit Bundle** for \$950, which includes all five manuals and all five videos. As a bonus, order either the **BSA Manual Bundle** or the **BSA Survival Kit Bundle** and get the electronic bookmarked PDF version of all five manuals for free.

NABS/PPL is your complete source for all things Chapter X. Order today and be ready for March 1.

# BSA MANUALS

## ***CTR/BSA Multipurpose Compliance Manual***

Completely revised in November, 2010 to comply with FinCEN's reorganization of Title 31 – the rules implementing the Bank Secrecy Act. Quickly resolve BSA questions and CTR completion problems when they arise with the *CTR/BSA Multipurpose Compliance Manual*. This unique manual, which includes more than 250 graphic examples and illustrations, is five manuals in one. Use it as:

- A supplement to your required written BSA compliance program (Fill in 10 blank spaces in order to tailor this manual to your institution's specific policies and procedures.)
- A compliance officer's reference manual in order to find answers to questions about the regulations
- Your CTR policies and procedures manual
- A research and regulatory reference manual to solve daily problems in completing CTRs
- A training officer's guide for employee CTR training

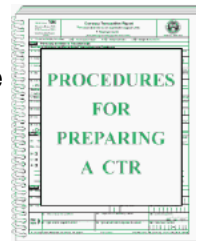


This manual should be part of every bank's BSA program and be on every compliance officer's desk.

Automatic updates are the easy way to stay abreast of regulatory changes and how they impact your institution's program. Periodically, subscribers will receive appropriately revised pages in order to update their copy of the manual at a nominal cost per update. 700+ pages. Manual with bookmarked electronic PDF version on CD: \$299.95 for new subscribers; \$249.95 for current subscribers. Manual only: \$249 for new subscribers; \$199 for current subscribers.

## ***Procedures for Preparing a CTR***

Completely revised for 2011 to comply with FinCEN's reorganization of Title 31 – the rules implementing the Bank Secrecy Act. This easy-to-use handbook provides a thorough understanding of the Large Currency Transaction Report, illustrates how each item on the CTR should be completed for any given transaction and enables financial institution employees to find answers fast to CTR completion questions when they arise. It is a valuable reference resource not only for BSA compliance officers, but also for branch managers, teller supervisors, training officers and others with customer contact. It is especially beneficial for tellers and other employees who prepare or review completed CTRs. This manual is a companion to the staff training video, *Principles of Preparing a CTR* (see opposite page). Manual with bookmarked electronic PDF version on CD: \$104.95. Manual only: \$55.



## ***The Suspicious Activity Report Regulations***

Completely revised for 2011 to comply with FinCEN's reorganization of Title 31 – the rules implementing the Bank Secrecy Act. This handbook contains step-by-step procedures for completing the SAR issued by the banking regulators and FinCEN. It also provides an analysis of the regulations of each bank supervisory agency - FDIC, OTS, FRS, OCC and NCUA - and Treasury's BSA regulations. In addition, the handbook includes a detailed analysis of what may constitute a reportable suspicious financial transaction. *The Suspicious Activity Report Regulations* is a must for security and BSA compliance officers and is an easy way to inform your personnel of the requirements of the regulations. This manual is a companion to the staff training video, *Understanding the Suspicious Activity Report Regulations* (see opposite page). Manual with bookmarked electronic PDF version on CD: \$108.95. Manual only: \$59.



## ***The CTR Exemption Handbook***

Completely revised for 2011 to comply with FinCEN's reorganization of Title 31 – the rules implementing the Bank Secrecy Act. FinCEN's final rule simplifying the current requirements for depository institutions to exempt their eligible customers from large currency transaction reporting went into effect in January 2009. In finalizing and issuing the rule, FinCEN amended the BSA regulation that allows depository institutions to exempt certain persons from the requirement to report currency transactions in excess of \$10,000. Modification of the exemption procedures is a part of the Department of the Treasury's continuing effort to increase the efficiency and effectiveness of its anti-money laundering and counter-terrorist financing policies. Manual with bookmarked electronic PDF version on CD: \$104.95. Manual only: \$55.



## ***Customer Identification Program Guide***

Completely revised for 2011 to comply with FinCEN's reorganization of Title 31 – the rules implementing the Bank Secrecy Act. This is an in-depth, section-by-section analysis of Section 326 of the USA PATRIOT Act. Far more than just an implementation guide - which it is - it also provides the tools and guidance necessary to maintain a CIP as well. Meaningful identification verification techniques and methods are also offered which will have tremendous value in maintaining a viable program. Manual with bookmarked electronic PDF version on CD: \$188.95. Manual only: \$139.



# BSA TRAINING VIDEOS

## **Executive Briefing: Managing Your AML Program & Terrorist Financing**



These two short and to the point video programs focus on a subject that is important to all financial institutions - combating those who use our country's financial system for dangerous and illegal ends: money launderers and terrorist financiers. These brief programs are designed to illustrate anti-terrorist financing and anti-money laundering policies and procedures for the entire management team - from first-line managers to board members - telling them what they need to know right now on these very topical subjects that are in the forefront of the news and of primary interest to every examiner. 12 minutes each, \$85.



## **Understanding the Suspicious Activity Report Regulations**

Here is the easy way to train employees in the Suspicious Activity Reporting regulations. This video covers the SAR regulations issued by FinCEN, which require that suspicious money laundering and BSA violations be reported. It also covers the regulations issued by the five federal banking supervisory agencies that require financial institutions to also report those transactions that are suspected to involve either external crimes or incidents of internal theft. This video is a companion to the manual, *The Suspicious Activity Report Regulations* (see opposite page). 24 minutes. \$95.



## **Introducing the BSA and CTRs to Employees**

Financial institutions are required to train all employees, especially new hires, in their BSA compliance responsibilities. This video introduces employees to the elements of the regulations and CTRs that they are most likely to encounter during their daily activities. It covers when and how: CTRs are to be prepared; the sales of monetary instruments are to be recorded; and suspicious activity is to be identified and handled. It also discusses CTR exemptions and provides examples of reportable transactions. 25 minutes. \$125.



## **Principles of Preparing a CTR**

Simplify training your employees, especially new hires, on when and how to prepare a CTR. This video reduces CTR training down to a few easy-to-understand principles for preparing a CTR and illustrates examples of reportable transactions. This video is a companion to the manual, *Procedures for Preparing a CTR* (see opposite page). 25 minutes. \$95



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