



Anti-Money Laundering & BSA Seminar

Presented by Professional Bank Services and the
New York Bankers Association

www.nyba.com

"An investment in knowledge always pays the best interest." -Benjamin Franklin



SEPTEMBER 27, 2010

Marriott Buffalo/Niagara
1340 Millersport Hwy
Amherst, NY
716-689-6900

SEPTEMBER 28, 2010

Embassy Suites
6646 Old Collamer Road
East Syracuse, NY
315-446-3200

SEPTEMBER 29, 2010

Marriott Hotel Albany
189 Wolf Road
Albany, NY
518-458-8444

SEPTEMBER 30, 2010

Crowne Plaza La Guardia
104-04 Ditmars Blvd
East Elmhurst, NY
718-457-6300

SCHEDULE

8:30 am - Registration
9:00 am - Program
12:00 noon - Lunch
4:00 pm - Adjourn

QUESTIONS?

Contact Rose Murjani
212-297-1666
rmurjani@nyba.com

DISCOUNTED PRICING FOR NYBA MEMBERS!!

\$290/\$195 additional
Promo Code:
BSANYB10

A Focus On Major AML/BSA Issues...

Recent Congressional focus and well publicized BSA enforcement actions and fines continue to support a climate where BSA compliance remains of paramount importance to all financial institutions. Treasury continues to rely on financial institutions to serve as the "front-line gatekeepers" for law enforcement efforts to safeguard the US financial system from the abuses of financial crimes, including money laundering and terrorist financing. And, the federal regulatory agencies continue to rely on financial institutions to take "every reasonable step" to identify, minimize, and manage any risks that illicit financial activity may pose to the individual institution and to the industry. Ongoing initiatives by the Financial Crimes Enforcement Network (FinCEN), evolving issues from the USA PATRIOT Act, and anticipated expansions in federal examination procedures reinforce the need to have a strong BSA program at your institution.

This full-day seminar covers in detail major issues in the ever-expanding environment of the Bank Secrecy Act and highlights the increased federal expectations for BSA.

Topics will include:

- Revised examination procedures – latest updates
- Risk assessments
- CTR exemptions – new procedures
- Suspicious Activity Reports (SAR) – New Rulings (anticipated)
- Customer Due Diligence – "high-risk" clients
- Funds transfer recordkeeping – new recordkeeping and reporting (anticipated)
- Interagency guidelines – MSBs and due-diligence expectations
- OFAC compliance
- Money laundering techniques and suspicious transactions
- Currency transaction reporting – FinCen Form 104
- Foreign correspondent bank and private banking EDD
- SAR for insurance affiliates
- Record retention requirements
- Information sources

Audience: This program is designed for personnel with management-level responsibilities for BSA compliance. Individuals with retail banking, risk management, compliance, audit, operational, corporate banking and training responsibilities will benefit from this program. No advanced preparation is required.

Why Participate: This seminar assists financial institutions in meeting the annual training requirements imposed by the BSA regulations, and provides attendees with the opportunity to network with other professionals interested in BSA compliance.

The New York Bankers Association is registered with the New York State Board of Public Accountancy as a sponsor of Continuing Professional Education. 6.5 hours CPE. Institute of Certified Bankers: 6.75 CRCM

NEW YORK BANKERS ASSOCIATION

Registration Form – Anti-Money Laundering & Bank Secrecy Act Seminar

Choose Site: ___ 9/27, Buffalo ___ 9/28, E. Syracuse ___ 9/29, Albany ___ 9/30, E. Elmhurst

Registration Fees: \$290 for first registrant, \$195 per additional registrant - NYBA members; \$335 per registrant-non-members. You may also register online at www.probank.com or call 800-523-4778 – you must enter Promotion Code: **BSANYB10** to get the discounted rate

Payment type: ___ Check enclosed ___ Check to follow ___ MasterCard ___ Visa

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Please fill out completely; copy form for additional registrants.

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Name _____ Title _____

Institution _____ Phone _____

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Or, FAX to (502) 451-6755 with credit card information

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