

# 2010 Trust & Investment Conference

*DoubleTree Hotel  
Tarrytown, New York  
October 5-7, 2010*

Sponsored by:  
**New York Bankers Association**

## *Managing in a New Environment*

NYBA's 64th Annual Trust & Investment Conference is the industry's premier in-state forum for trust and investment executives. It's your chance to hear from leading experts on the latest fiduciary and regulatory challenges, as well as best practices and strategies for managing your business and supporting your clients in a changing economic and regulatory landscape.



### *Program Benefits*

- ◆ Explore the latest legislative and regulatory initiatives and assess the impact of Financial Reform on your firm and your clients
- ◆ Gain new insights into the challenges of investing fiduciary assets in today's rate environment
- ◆ Return to your office with tools and strategies to add value to your business and to your clients

### *Topic Highlights*

- A View from the Surrogate's Court—**Hon. John M. Czygier, Jr.**, Judge, Suffolk County Surrogate's Court
- Best Practices in Documenting Fiduciary and Investment Decisions—**Hon. C. Raymond Radigan**, Former Judge, Nassau County Surrogate's Court
- Federal Legislative and Regulatory Review: The Impact on Wealth Management
- State Legislative Update and Outlook
- Positioning Fiduciary Status as a Competitive Advantage
- Challenges and Opportunities in Fiduciary Investing
- A View from the Attorney General's Office—**Carl L. Distefano**, Assistant Attorney General & Section Chief, Charities Bureau, Office of the New York State Attorney General
- Economic Outlook—2010 and Beyond

CTFA credit is pending.

The New York Bankers Association is registered with the New York State Board for Public Accountancy as a sponsor of continuing professional education (CPE).

**New York Bankers Association**  
99 Park Avenue, 4th Floor  
New York, NY 10016  
[www.nyba.com](http://www.nyba.com)



## *Program*

### Tuesday, October 5, 2010

12:00 noon—**Pre-Conference Golf**—Scarsdale Golf Club

6:00—7:00 pm—Registration

7:00—9:00 pm—Reception and Dinner

### Wednesday, October 6, 2010

7:15—8:15 am—Breakfast

8:30—9:50 am

#### **Welcome**

**Melinda Sartori**, Chair, NYBA Trust & Investment Division;  
Executive Vice President, Chemung Canal Trust Company

**Michael P. Smith**, President & CEO, New York Bankers  
Association

#### **A View from the Surrogate's Court**

**Hon. John M. Czygier, Jr.**, Judge, Suffolk County  
Surrogate's Court

9:50—10:10 am—Refreshment Break

10:10—12:00 noon

#### **Best Practices in Documenting Fiduciary and Investment Decisions—presentation and panel discussion**

**Hon. C. Raymond Radigan**, Former Judge, Nassau County  
Surrogate's Court

**Peter G. Pangis**, Managing Director, Regional Trust Executive,  
US Trust, Bank of America Private Wealth Management

**Joseph M. Samulski**, Managing Director & Chief Fiduciary  
Officer, BNY Mellon Wealth Management

12:00—1:00 pm—Luncheon

1:00—2:15 pm

#### **Washington Regulatory & Legislative Update: Impact on Wealth Management**

**Sarah A. Miller**, Senior Vice President, Center For  
Securities, Trust & Investments, American Bankers Association

2:15—2:30 pm—Refreshment Break

#### **Questions:**

Elisa R. Legg—212-297-1679; [elegg@nyba.com](mailto:elegg@nyba.com);  
Mary K. Robb—212-297-1662; [mrobb@nyba.com](mailto:mrobb@nyba.com)

#### **Registration**

- \$495 per registrant—members
- \$415 per registrant—add'l members
- \$700 per registrant—non-members

See registration form for additional fees.  
Fee covers the cost of conference  
sessions and materials, receptions,  
refreshment breaks, and meals.

Mail or fax registration form, and  
payment, to: 212-297-1683 or

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Any registration cancelled on or after  
September 17, 2010, is subject to a 25%  
cancellation fee. Registrations cancelled  
prior to September 17 will be refunded in  
full. Fee will not be refunded for  
cancellations received after September 27,  
2010. There is no charge for substitutions.

Directions are available at: [www.nyba.com](http://www.nyba.com)

#### **Hotel Reservations**

**Call reservations: 800-474-4260**

Mention **Group Code TRU** to receive the  
group rate of \$159/night. To reserve  
online, go to: [www.tarrytown.doubletree.com](http://www.tarrytown.doubletree.com);  
use **Group Code TRU** for group rates.

**Reservation cut-off:** September 15, 2010.

2:30—4:30 pm

#### **State Legislative Update and Outlook**

**Joseph F. Denara**, Chair, NYBA Trust & Estate Tax  
Committee; Managing Director, JP Morgan Chase Bank, N.A.

**Timothy M. Paul**, Chair, NYBA Trust Legislative Committee;  
Chief Trust Officer, Goldman Sachs Trust Company, NA

**William J. Bosies**, Senior Vice President-Legislation,  
New York Bankers Association

#### **A View from the Attorney General's Office**

**Carl L. Distefano**, Assistant Attorney General and Trusts &  
Estates Section Chief, Charities Bureau, Office of the New York  
State Attorney General

5:00—6:00 pm—Committee Meetings

6:30—7:15 pm—Reception

7:15—9:00 pm—Dinner and Entertainment

### Thursday, October 7, 2010

7:15—8:15 am—Breakfast

8:15—9:30 am

#### **Positioning Fiduciary Status as a Competitive Advantage**

**Melinda Sartori**, Moderator; Executive Vice President, Chemung  
Canal Trust Company

**Doris Meister**, Regional President-Tri-State Region,  
BNY Mellon Wealth Management

**Thomas F. Scaturro**, Senior Vice President & National Sales  
Director, Wells Fargo Private Bank

**Loraine B. Tsavaris**, Managing Director, Rockefeller & Co.

9:30—9:45 am—Refreshment Break

9:45—11:45 am

#### **Challenges and Opportunities in Fiduciary Investing**

**Kenneth J. Entenmann**, Moderator; Senior Vice President &  
Director, Alliance Bank, NA

**Paula M. Baker**, Managing Director & Chief Fiduciary  
Executive, JPMorgan Chase Bank, N.A.

**Benjamin A. Pace**, Managing Director & Chief Investment  
Officer, Deutsche Bank Private Wealth Management

#### **Economic Outlook—2010 and Beyond**

**Robert T. McGee**, Managing Director & Director of Macro  
Strategy & Research, US Trust Bank of America Private Wealth  
Management

#### **Election of Division Officers for 2010-11**

11:45 am—Conference Adjourns

#### **DoubleTree Hotel**

The DoubleTree Hotel, 455 S. Broadway,  
Tarrytown, NY, was completely  
renovated in 2008 and is located just off  
I-87 at the foot of the Tappan Zee Bridge.  
The hotel is 15 minutes from the  
Westchester County Airport and 25  
minutes from LaGuardia Airport. Train  
service to Tarrytown is available via  
MetroNorth.

#### **Golf**

Don't miss the chance to join colleagues  
in a round of golf at the Scarsdale Golf  
Club, a challenging Tillinghast designed  
course. Sign up on the Golf Form.